

# Frailty and Falls: Screening in the Community

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Certified FallProof! Balance and Mobility Master Instructor



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## Presentation Objectives

- Define frailty
- Discuss the complexity of frailty
- Frailty and sarcopenia
- Screening for frailty
- Define falls and discuss fall risk
- Screening for fall risk
- Role of Physical Therapy



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<https://www.greatmovesphysicaltherapy.com/news/fall-prevention/>

# Frailty

**WHAT WORD OR PICTURE COMES TO  
YOUR MIND WHEN YOU HEAR THE  
WORD FRAILTY?**




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## Frailty?

- There are a number of suggested theories and definitions of frailty
- About 75 available frailty screening tools
- Historically, there has been confusion about which screening tools to use in health care
- Recent increase in awareness about the need for improved definition, conceptual framework and screening suggestions



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### Frailty Consensus: A Call to Action

John E. Morley, MB, BCh<sup>a,\*</sup>, Bruno Vellas, MD<sup>b,c</sup>, G. Abellan van Kan, MD<sup>b,c</sup>, Stefan D. Anker, MD, PhD<sup>d,e</sup>, Juergen M. Bauer, MD, PhD<sup>f</sup>, Roberto Bernabei, MD<sup>g</sup>, Matteo Cesari, MD, PhD<sup>b,c</sup>, W.C. Chumlea, PhD<sup>h</sup>, Wolfram Doehner, MD, PhD<sup>d,i</sup>, Jonathan Evans, MD<sup>j</sup>, Linda P. Fried, MD, MPH<sup>k</sup>, Jack M. Guralnik, MD, PhD<sup>l</sup>, Paul R. Katz, MD, CMD<sup>m</sup>, Theodore K. Malmstrom, PhD<sup>a,n</sup>, Roger J. McCarter, PhD<sup>o</sup>, Luis M. Gutierrez Robledo, MD, PhD<sup>p</sup>, Ken Rockwood, MD<sup>q</sup>, Stephan von Haehling, MD, PhD<sup>r</sup>, Maurits F. Vandewoude, MD, PhD<sup>s</sup>, and Jeremy Walston, MD<sup>t</sup>



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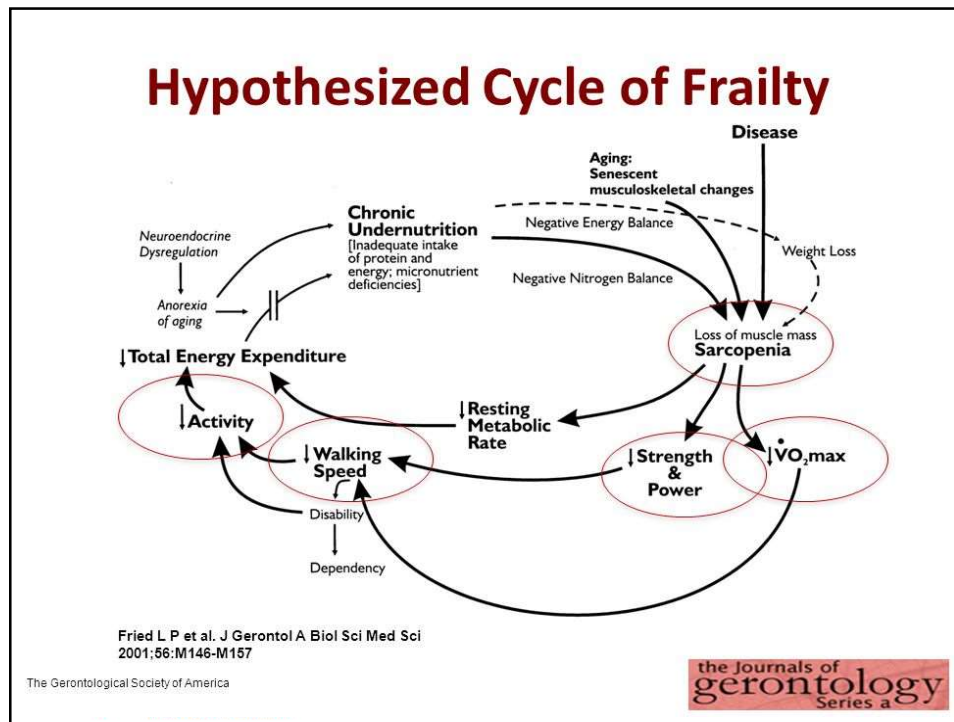
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## Frailty Consensus: Frailty Defined

“Physical frailty is a medical syndrome with multiple causes and contributors that is characterized by diminished strength, endurance, and reduced physiologic function that increases an individual’s vulnerability for developing increased dependency and/or death.”



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## Sarcopenia

- Age-related loss of muscle mass
- Estimated 8-10% per decade until age 70 loss increases to 15% per decade
- Muscle fiber types:
  - Type I (endurance): slow twitch
  - Type II a (strength), IIb (power): fast twitch
  - Predominantly loss of Type II muscle fibers (b>a)

## Muscle Changes with Sarcopenia

- Loss of leg strength/power per decade
  - 10-15% until age 70 years
  - 25-40% >70 years
- Loss of strength is greater than loss of muscle mass
- Greater loss of muscle power vs strength and endurance
- Leg muscle power predictor for fall risk, physical performance and functional status
- Grip strength and leg strength are correlated
- A contributor to weight loss, exhaustion, low energy

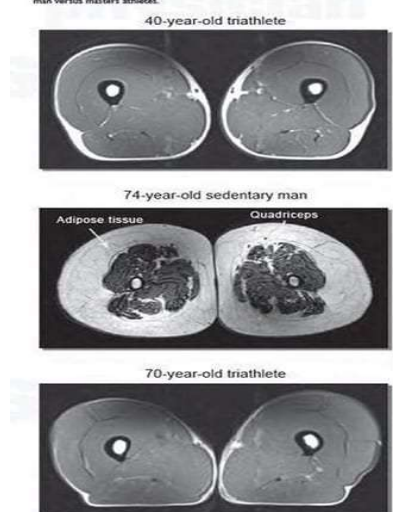
Malafarina et al 2012, Foldvari et al 2000, Bean et al 2002



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**Sarcopenia:  
Lifestyle  
matters more  
than age!**

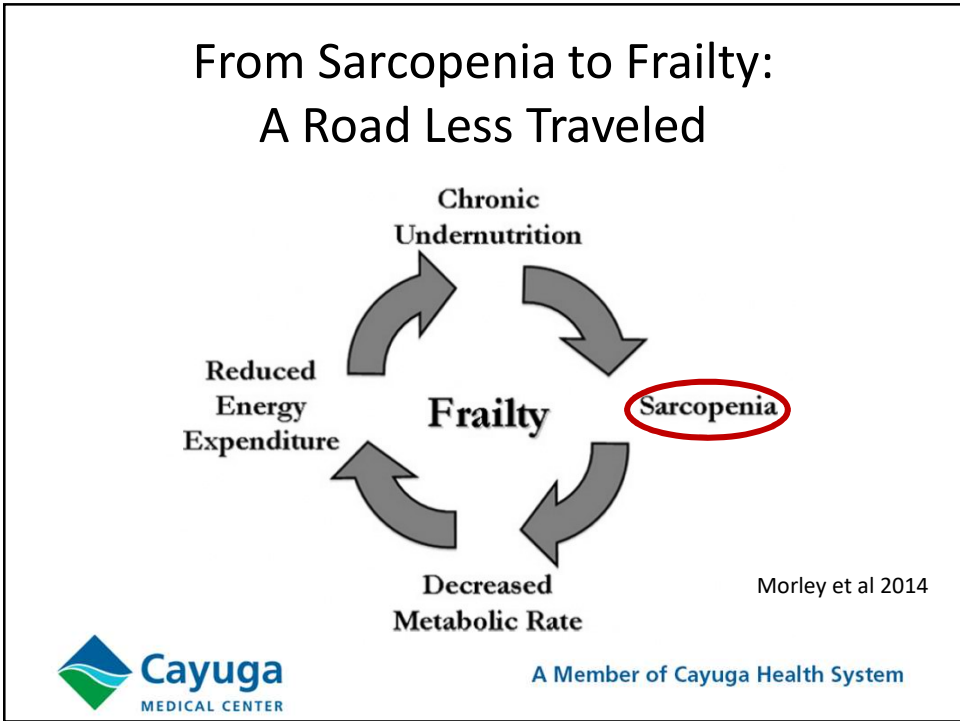
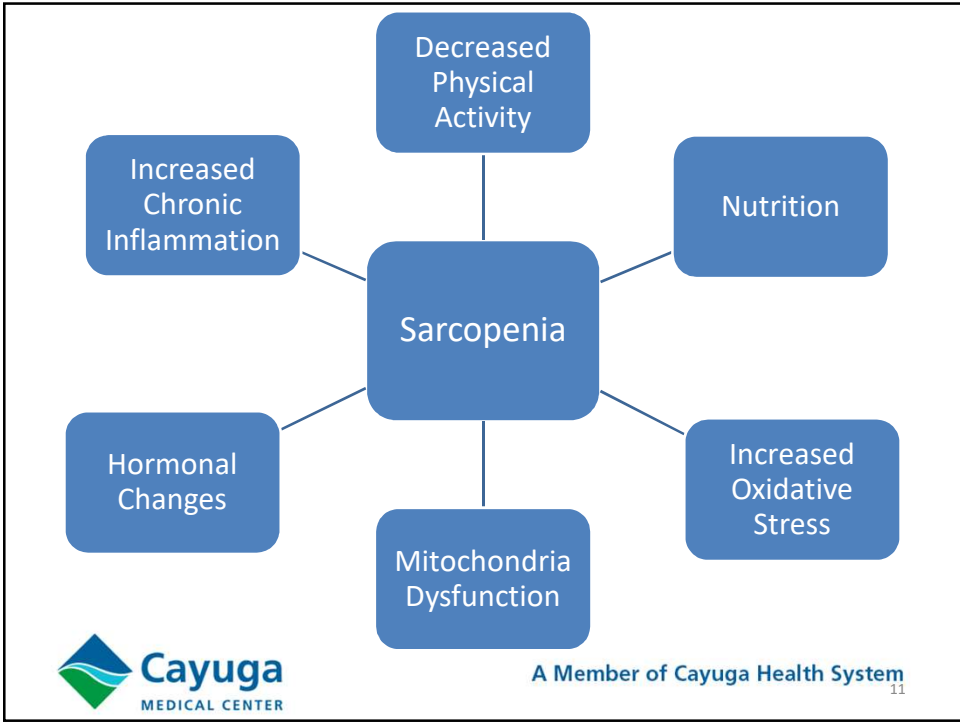
Figure 1. Typical quadriceps MRI scan of a 40-year-old triathlete compared with the quadriceps MRI scans of a 70-year-old triathlete and a 74-year-old sedentary man. Note the significant visual difference between the SCAT and IPAT of the sedentary man versus masters athletes.



Abbreviations: IPAT, intramuscular adipose tissue; MRI, magnetic resonance imaging; SCAT, subcutaneous adipose tissue.  
Wroblewski, et al. Phys Sports Med 2011;39:172



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## WHY IS SCREENING FRAILTY IMPORTANT?

As multisystem dysregulation accumulates, **predictable** functional changes begin to appear and can serve as **warning signs** of vulnerability, development of frailty and adverse health outcomes.



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## Frailty is Associated with:

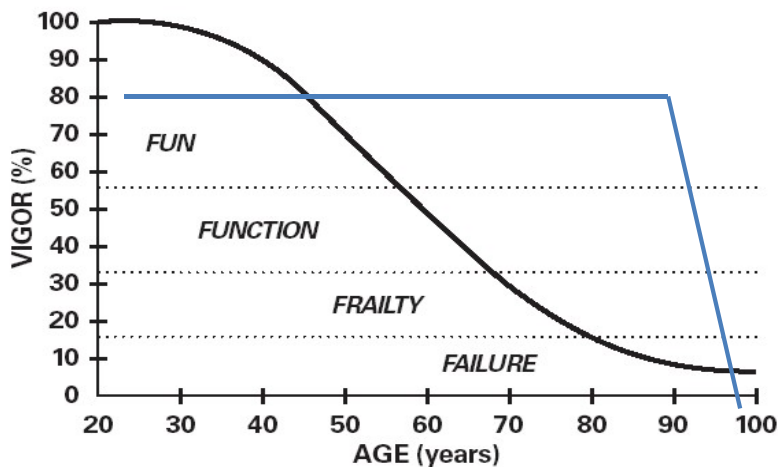
- Increased hospital admission
- Increased risk for post-operative complications
- Increased fall risk
- Increased risk for cardiovascular event or illness
- Increased risk for nursing home living status
- Increased risk for mortality
  - 3x more than non-frail over 3 years
  - 6x more than non-frail over 7 years



Fried et al 2001, Rockwood et al 2009, Vermeulen et al 2011, Morley et al 2014

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## Slippery Slope of Aging

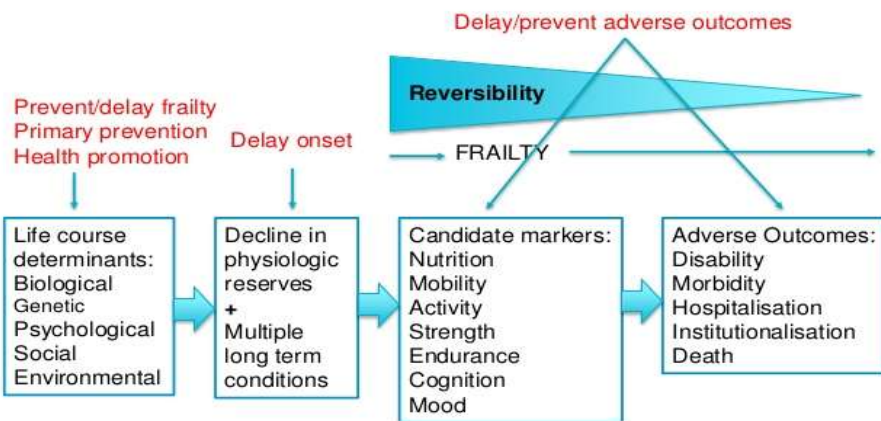


Shwartz, 1997



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## Frailty – a complex syndrome of increased vulnerability



www.england.nhs.uk

Rodriguez-Mañas L, Fried LP. Frailty in the clinical scenario. *Lancet*. 2014 Nov 6

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## Transitions between Frailty States

- 754 participants – non-disabled >70 y/o
- 57.5% at least one transition between robust, pre-frail, frail during 54 month follow-up
- In first 18 months:
  - Robust – 40.1% to pre-frail, 4.2% to frail
  - Pre-frail – 11.9% to robust
  - Frail- 63.9% remained frail, 23% to pre-frail, 13% died, 0 reversed to robust

Gill et al 2006



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## Natural Course of Frailty Components

- Non-frail compared to frail individuals
  - Exhaustion (Risk Ratio 1.53): 9 years prior to onset
  - Slowness (RR 1.94), low activity (RR 1.59), weakness (RR 1.39): 6 years prior to onset
  - Weight loss (RR 3.36): only at onset of frailty

Stenholm et al. 2018



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## FRAILTY SCREENING AND DIAGNOSIS



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### Frailty Consensus: Summary

1. Physical frailty is an important medical syndrome
2. Physical frailty is a manageable condition
3. There are simple screening tools available
4. All Patients >70 y/o should be screened



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## Frailty Consensus: Screening

- Who should be screened?
  - Everyone > 70 years old
  - Everyone w/ recent weight loss (5%) due to illness
- Who can screen patients?
  - Should be part of annual Geriatric Assessment
  - Any healthcare provider familiar with a screening tool
- Who can diagnose frailty
  - Should be diagnosed by a Physician with a Geriatric specialty using criteria of a well-defined model.



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## Cardiovascular Health Study Frailty Screening Scale/Fried Criteria

Weight Loss	• >10#, >10% from age 60 y/o
Exhaustion	• Fatigue, weakness in past month
Slow Movement	• Gait speed <.60m/s
Weakness	• Grip strength lowest 20% (age/gender)
Low physical activity	• <383kcal/wk (M), <270 kcal/wk (F)

Robust = 0/5

Pre-Frailty = 1-2/5

Frailty = 3-4/5



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## FRAIL Scale

(International Academy of Nutrition and Aging)

### Fatigue

- Do you feel worn out or feel tired?

### Resistance

- Can you climb one flight of stairs?

### Ambulation

- Can you walk 100m or 1 block?

### Illness

- Do you have more than 5 illnesses?

### Loss of weight

- >5% of body weight in 6 months?

Robust = 0/5

Pre-Frailty = 1-2/5

Frailty = >2/5



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## Study of Osteoporotic Fracture Frailty Criteria (SOF)

Frailty Criteria	Data Collection	Score
Weight Loss >5% in past 3 years	Weight/weight 3 years ago = % loss	Score = 1 if >5% loss
Weakness	Rise from chair without arms 5x	Score =1 if unable
Exhaustion	Ask "Do you feel full of energy?"	Score = 1 if "no"

Robust = 0/3

Pre-Frailty = 1/3

Frailty = 2-3/5



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## Frailty Consensus: Management

- Reduction in polypharmacy
- Vitamin D supplementation
- Caloric and protein support
- Exercise and physical activity



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## PT Treatment of Frail Individuals

Reduce symptoms and restore function after  
acute illness, exacerbation

+

Manage co-morbidities and screen for risk of  
additional health problems, mobility deficits

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Moving the patient closer to a state of optimum  
health, independence and quality of life



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## Physical Therapy

- Aim to restore function
- Initiate appropriate exercise plan
- Establish long term plan
  - exercise and activity
  - disease symptom management
  - adaptations, support, resources
- ***Recommend minimum of 1 year of exercise meeting recommended criteria for treatment of frailty! Exercise should be ongoing!***



Karavirta 2011, 2014

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<https://www.greatmovesphysicaltherapy.com/news/fall-prevention/>


Falls  
COMMON, COSTLY, DETRIMENTAL  
AND PREVENTABLE




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### FACTS ABOUT OLDER ADULT FALLS



Every  
**20 minutes**  
an older adult dies from a fall.




**1 in 4**  
Older Americans  
fall every year.




**1 in 5**  
falls results in  
head injury or  
broken bones.

**2x** Older adults who have  
fallen have **twice** the  
chance of falling again.



**\$744 million**  
Total amount spent for acute  
care hospital charges associated  
with older adult falls in 2014.

<http://www.bphc.org/whatwedo/childrens-health/injury-prevention/Pages/Older-Adult-Falls-Prevention.aspx>

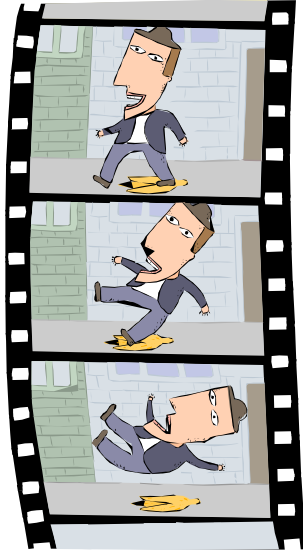



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## Falling -

*Falling is not a  
NORMAL part of aging!*





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## What is Balance?

- Balance is the process by which we control the body's center of mass with respect to the base of support while the body is stationary or moving
- Types of Balance
  - Postural balance
  - Static balance
  - Dynamic balance
  - Stability Limits



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## What is Postural Control?

- Act of maintaining, achieving, restoring a state of balance during any posture or activity
- Types of Postural Control
  - Anticipatory
  - Reactionary

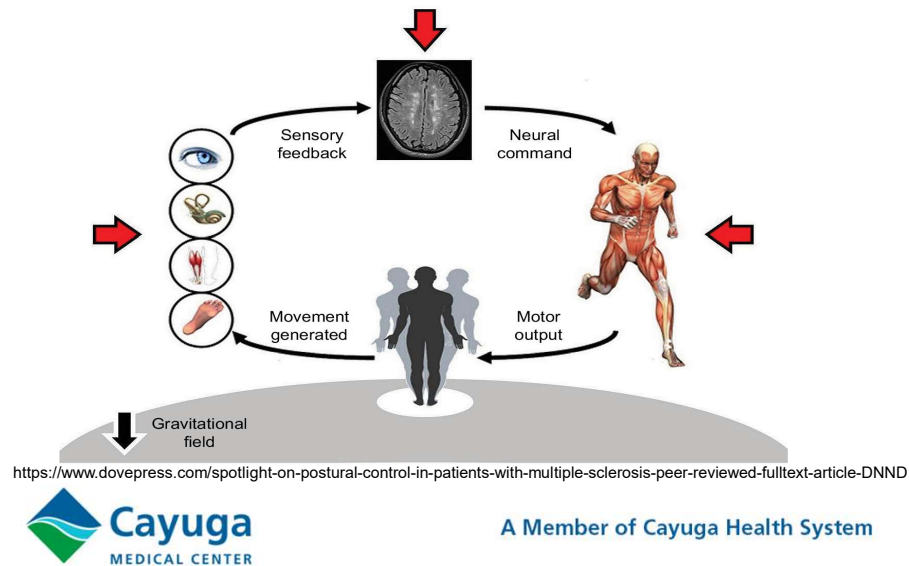


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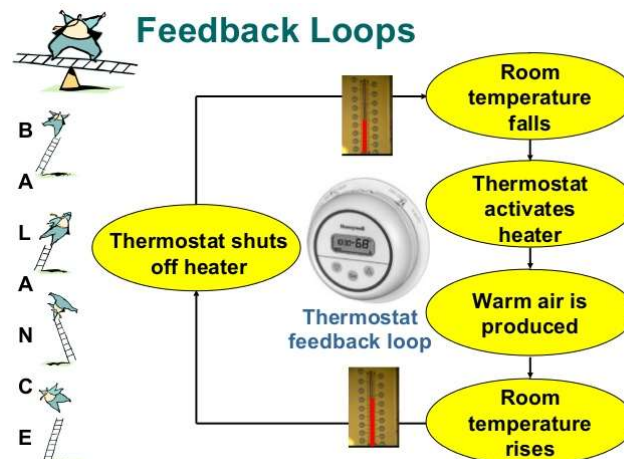
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## Postural Control



## Thermostat Example

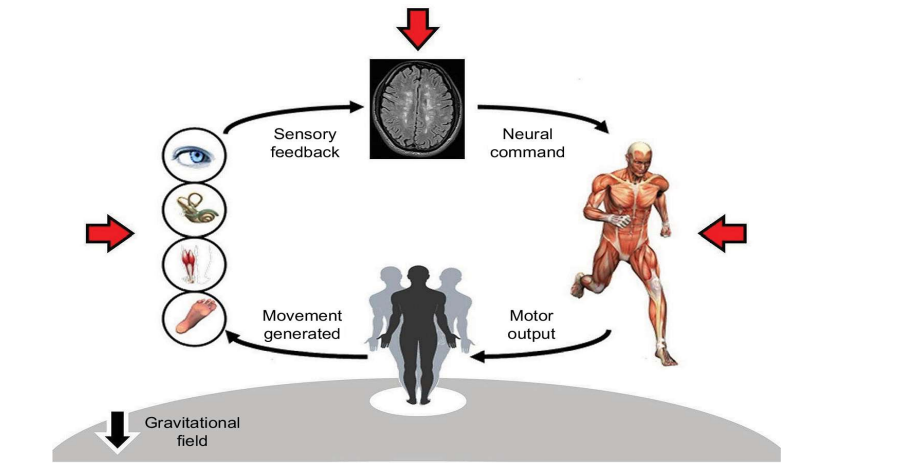


# WHY IS BALANCE IMPORTANT FOR OLDER ADULTS?



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## Postural Control



<https://www.dovepress.com/spotlight-on-postural-control-in-patients-with-multiple-sclerosis-peer-reviewed-fulltext-article-DNND>



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## Sensory

- Vision changes
  - Visual acuity is associated with postural sway
  - Visual conditions: *cataracts*, macular degeneration, glaucoma
  - Depth perception, peripheral vision changes (glasses – bifocals or trifocals)
- Decrease in vestibular system function
  - Vestibular conditions: positional vertigo, disequilibrium, many others
- Loss of sensation due to illness or loss of sensory cells
  - Conditions: peripheral neuropathy or loss of sensation caused by diabetes, cancer treatment, spinal conditions, vascular disease or unknown cause



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## Neurologic

- Neurologic conditions:
  - Parkinsons disease, stroke, Alzheimer's disease
- Cognition and mental alertness
  - Memory loss, safety awareness, and decision making
  - Anxiety and depression
- Psychomotor slowing
  - Reduced Reaction time, central nervous system processing



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## Musculoskeletal

- Decrease of muscular strength/power due to loss of muscle mass
- Loss of flexibility and joint mobility
- Lifestyle of inactivity
- Bone integrity changes
  - Osteopenia, osteoporosis



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## FALLS AND FALL PREVENTION

Center for Disease Control and  
National Council on Aging Recommendations



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## Fall and Fall Risk Defined

- Fall: An event which results in a person coming to rest inadvertently on the ground or floor or other lower level, not as a result of a major intrinsic event or overwhelming hazard
- Risk: probability that an unwanted health event will occur
  - Assumption: risk is never absent (zero)

WHO <http://www.who.int/mediacentre/factsheets/fs344/en/>



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## CDC Falls Facts

- Community living older adults: 30-40%
  - One in three: strong epidemiological evidence
  - Risk increases with advancing age
  - “Pre-test Probability” of falling

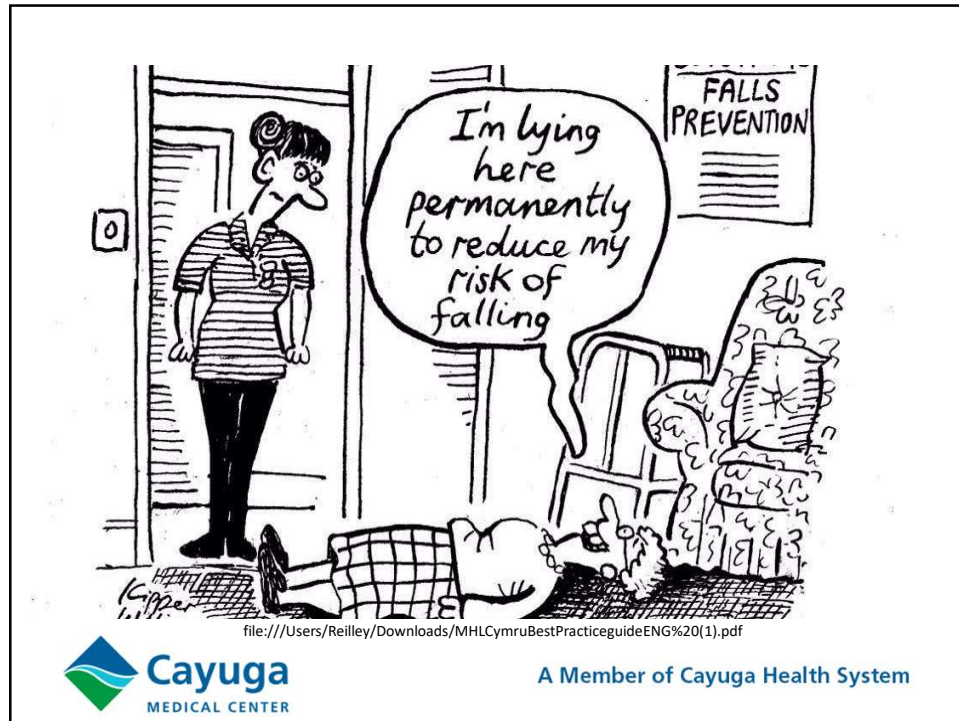
*Question:* what is acceptable level of risk?

*Answer:* it depends....

*Reality:* risk will never be “0”



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## Fall Prevention

### Primary

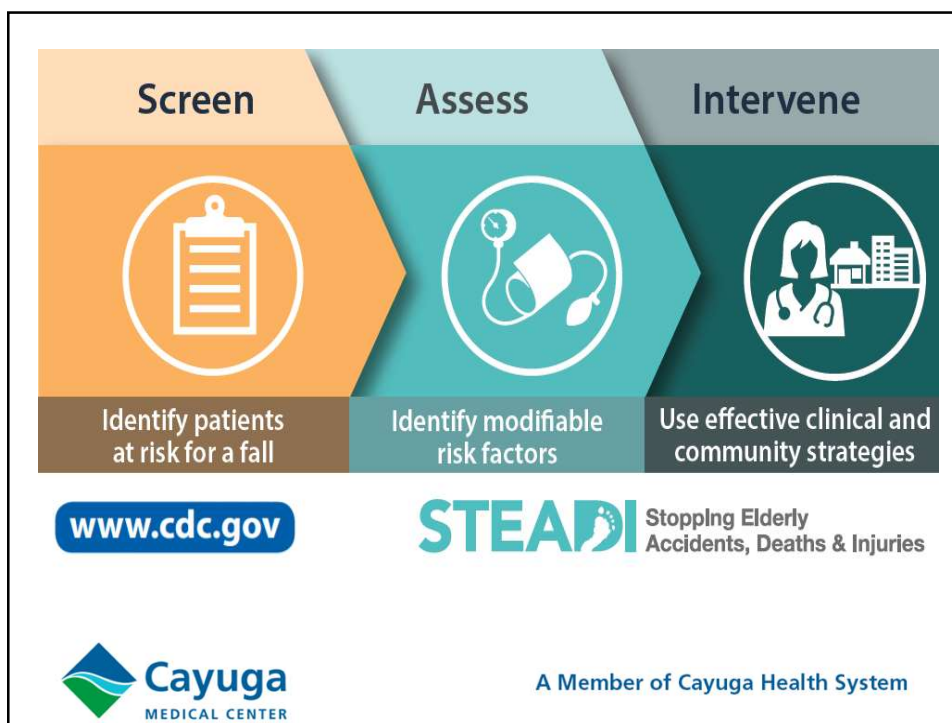
- Early detection of risk prior to adverse event
- Remove modifiable risk factors
- Avoid falls and injury

### Secondary

- Early detection of falls, FOF, mobility decline
- Prevent recurrent falls, mobility decline
- Restore function after a fall

### Tertiary

- Reduce secondary complications of falls
- Reduce fall rate OR avoid falls
- Maintain current living status



## Estimates of Rehab Service Utilization

- Rehab services received in the last year
  - Less than ½ (40.6%) at high risk
  - Less than ¼ (23%) at moderate risk
- Those that received rehabilitation, few reported treatment for fall-related issues.
  - Low risk (2.8%)
  - Moderate risk (12.6%)
  - High risk (34.7%)

Gell & Patel 2018

## Types of Screenings

- Community Screening
  - Fall Risk screening for older adults not receiving intervention
  - Error on side of false positives to avoid missing anyone at risk
  - Immediate PT/medial assessment may not be possible
- Clinical Screening
  - Screening all older adults for fall risk on arrival to clinic/office
  - No matter what the reason for referral or visit
- Annually
  - Screen as part of annual Medicare visits
  - If needed: detailed multifactorial medical and PT assessment



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## SCREENING IN THE COMMUNITY



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## Stay Steady

### Self-report

- Have you fallen?
- Fear of Falling ?
- Medications?
- Need for assistance or use of an assistive device?
- Stay Independent Brochure (optional)
  - >4 or Yes any of the above

### Mobility Screen

- Timed Up and Go Test
- 30 second chair rise test
- 4 – stage balance test
  - Narrow stance, semi-tandem, tandem, SLS
  - <10 on tandem stance



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## SCREENING IN THE CLINIC

### Current evidence and recommendations:

American Geriatric Society  
British Geriatric Society

American Physical Therapy Association: Academy of Geriatric Physical Therapy



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## AGS/BCS Guidelines for Fall Risk Screening

- Everyone >65 y/o, regardless of reason for referral
- During initial interview
  - Have you had a fall in the past year?
  - Are you worried about falling (FOF)
  - Are you here because you recently fell?
  - Do you have difficulty with walking or balance?
  - Observe patient mobility in the office during visit



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## Screening to Assessment

- If yes to any of the recommended questions, specifically >2 falls in the last 12 months indicates need for multifactorial fall risk assessment including balance and gait assessment (PT referral)
- Older adults with history of even one fall should have a multifactorial balance/gait assessment



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## AGS Multifactorial Risk Assessment

- Assessment of integrated musculoskeletal function and postural control: PT and /or OT referral
- Medication review:
  - Psychotropic medications or taking or > 3-4 medications of any type
  - Monitor possible side effects with any new meds or changed doses
  - BEER's list criteria
- Orthostatic Hypotension testing
- Diagnostic test: should be selected based on individual needs
  - Lab and Imaging
- Nutrition consult
- Vision examination
  - Yearly or after any acute changes
- Foot/Footwear assessment



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## Clinical Guidance Statement

### Management of Falls in Community-Dwelling Older Adults: Clinical Guidance Statement From the Academy of Geriatric Physical Therapy of the American Physical Therapy Association

Keith G. Avin, Timothy A. Hanke, Neva Kirk-Sanchez, Christine M. McDonough, Tiffany E. Shubert, Jason Hardage, Greg Hartley

K.G. Avin, PT, PhD, Department of Physical Therapy, Indiana University School of Health and Rehabilitation Sciences, Indianapolis, Indiana.

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N. Kirk-Sanchez, PT, PhD, Department of Physical Therapy, University of Miami, Coral Gables, Florida.



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## APTA Geriatric Section Clinical Guideline Statement 2015

- Screening all adults >65 y/o, no matter the reason for PT evaluation
- Questions:
  - Falls in the past 12 months
  - Fear of falling, concern about balance
- Balance and mobility:
  - Observations of gait and balance, Timed up and Go



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## Systematic Reviews

OPEN

### **Determining Risk of Falls in Community Dwelling Older Adults: A Systematic Review and Meta-analysis Using Posttest Probability**

Michelle M. Lusardi, PT, DPT, PhD<sup>1</sup>; Stacy Fritz, PT, PhD<sup>2</sup>;  
Addie Middleton, PT, DPT, PhD<sup>3</sup>; Leslie Allison, PT, PhD<sup>4</sup>;  
Mariana Wingood, PT, DPT, GCS<sup>5</sup>; Emma Phillips, PT, DPT, GCS<sup>6</sup>;  
Michelle Criss, PT, GCS<sup>7</sup>; Sangita Verma, PT, DPT, GCS<sup>8</sup>;  
Jackie Osborne, PT, DPT, GCS<sup>9</sup>; Kevin K. Chui, PT, DPT, PhD, GCS, OCS<sup>10</sup>



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## Recommendation for Screening Questions

Measure	Cut-off	PoTP % (+)	PoTP% (-)
Any Previous falls	Yes/no	44	26
Psychoactive meds	Yes/no	38	26
Assistance with ALDs	Yes/no	38	26
Fear of falling	Yes/no	38	28
AD use	Yes/no	36	26



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## Recommendation for Performance Based Measurements

Measure	Cut-off	PoTP % (+) test	PoTP% (-) test
TUG	>12 sec	47	25
Standing on 1 leg	<6.5 sec	45	28
5x sit to stands	>12 sec	41	20
Gait speed	<1.0 m/s	39	20
	<.60m/s	61	23



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## Meet Jane- 70 year who lives independently in our community



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## Clinical Example- Initial Encounter

Screening	Results	PrTP	+ and - LR	PoTP %
<b>Fall hx</b>	<b>Yes</b>	<b>30%</b>	<b>1.8, .8</b>	<b>44%</b>
<b>Fear Of Falling</b>	<b>Yes</b>	<b>44%</b>	<b>1.4, .8</b>	<b>52%</b>
Assistive Device	No	52%	1.3 / .9	49%
<b>Psychotropic meds</b>	<b>Yes</b>	<b>49%</b>	<b>1.4, .8</b>	<b>57%</b>
ADL assist	No	57%	1.4, .8	52%
<b>Gait speed</b>	<b>&lt;1.0 m/s</b>	<b>62%</b>	<b>1.5, .6</b>	<b>62%</b>
<b>SLS</b>	<b>&lt;6.5 sec</b>	<b>62%</b>	<b>1.9, .9</b>	<b>76%</b>
TUG	<12 sec	76%	2.1, .8	<b>72%</b>



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## Clinical Example- 6 months later

Screening	Results	PrTP	+ and - LR	PoTP %
Fall hx	No	30%	1.8, .8	26%
<b>Fear Of Falling</b>	<b>Yes</b>	<b>26%</b>	<b>1.4, .8</b>	<b>32%</b>
Assistive Device	No	32%	1.3 / .9	29%
Psychotropic meds	No	29%	1.4, .8	25%
ADL assist	No	25%	1.4, .8	21%
Gait speed	>1.0 m/s	21%	1.5, .6	14%
SLS	>6.5 sec	14%	1.9, .9	13%
TUG	<12 sec	13%	2.1, .8	<b>11%</b>



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## Clinical Example- 6 months later

Screening	Results	PrTP	+ and - LR	PoTP %
Fall hx	No	30%	1.8, .8	26%
<b>Fear Of Falling</b>	<b>Yes</b>	<b>26%</b>	<b>1.4, .8</b>	<b>32%</b>
Assistive Device	No	32%	1.3 / .9	29%
<b>Psychotropic meds</b>	<b>Yes</b>	<b>29%</b>	<b>1.4, .8</b>	<b>36%</b>
ADL assist	No	36%	1.4, .8	31%
Gait speed	>1.0 m/s	31%	1.5, .6	22%
SLS	>6.5 sec	22%	1.9, .9	20%
TUG	<12 sec	20%	2.1, .8	<b>16%</b>



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## Physical Therapy

- Primary, Secondary and Tertiary Prevention
- Multifactorial gait and balance assessment and POC
- Implement POC to include treatment for
  - modifiable impairments
  - increase confidence, activity level
  - anticipatory and reactive postural control strategies
- Establish long term discharge plan for exercise
- ***Recommend 52 hours within a 6 month time frame of balance specific exercises/training combined with a general fitness program to include muscle strength, power and endurance training!***

Sherrington et al 2013, 2017



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## SCREENING IN THE COMMUNITY



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## Stay Steady

### Self-report

- Have you fallen?
- Fear of Falling ?
- Medications?
- Need for assistance or use of an assistive device?
- Stay Independent Brochure (optional)
  - >4 or Yes any of the above

### Mobility Screen

- Timed Up and Go Test
- 30 second chair rise test
- 4 – stage balance test
  - Narrow stance, semi-tandem, tandem, SLS
  - <10 on tandem stance



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## Resources

- American Geriatric Society  
[http://www.americangeriatrics.org/health\\_care\\_professionals](http://www.americangeriatrics.org/health_care_professionals)
- CDC- STEADI toolkit <https://www.cdc.gov/steady/materials.html>



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